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Maximizing Adaptivity in Hierarchical Topological Models Using Cancellation Trees

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Summary. We present a highly adaptive hierarchical representation of the topology of functions defined over two-manifold domains. Guided by the theory of Morse-Smale complexes, we encode dependencies between cancellations of critical points using two independent structures: a traditional mesh hierarchy to store connectivity information and a new structure called cancellation trees to encode the configuration of critical points. Cancellation trees provide a powerful method to increase adaptivity while using a simple, easy-to-implement data structure. The resulting hierarchy is significantly more f exible than the one previously reported [4]. In particular, the resulting hierarchy is guaranteed to be of logarithmic height.

1 Introduction

Topology-based methods used for visualization and analysis of scientif c data are becoming increasingly popular. Their main advantage lies in the capability to provide a concise description of the overall structure of a scientif c data set. Subtle features can easily be missed when using "traditional" visualization methods like volume rendering or iso-contouring, unless "correct" transfer functions and isovalues are chosen. On the other hand, the presence of a large number of small features creates a "noisy visualization," in which larger features can be overlooked. By visualizing topology directly, one can guarantee that no feature is missed. Furthermore, one can use sound mathematical principles to simplify a topological structure. The topology of functions is also often used for feature detection and segmentation (e.g., in surface segmentation based on curvature).

However, for topology-based data analysis one needs f exible, hierarchical models able to adaptively remove noise or features not relevant for a particular segmentation. In practice, the simplification/ref nement should be fast (preferably interactive) and highly adaptive in order to be useful in a large variety of situations. Requiring interactivity inadvertently leads to the use of hierarchical encodings rather than

simplif cation schemes. Hierarchical models often reduce the adaptivity of a representation to gain the ability to perform incremental changes for varying queries.

We address the need for adaptive topology-based data exploration by improving signif cantly the topological hierarchy proposed in [4]. Creating two largely independent hierarchies, we show how one can remove many of the dependencies in the original hierarchy, making the structure simpler, more compact, and more adaptive than the original one.

1.1 Related Work

The topological structure of a scalar feld can be described partially by its contour tree [17, 5, 18], which describes the relations between the connected components of its level sets. This structure provides a user with a compact representation of the topology [1] and can be used to accelerate the computation of isosurfaces [24]. However, the contour tree provides little information about the embedding of the level sets and therefore remains somewhat abstract. Morse theory [16, 15], on the other hand, provides methods to analyze the complete topology of a function over a manifold as well as its embedding. Early approaches for the bivariate case are provided in [6, 14, 19]. More recently, the Morse-Smale complex was introduced by Edelsbrunner et al. [9, 8] as a description of the topology of scalar-valued functions over two- and three-dimensional manifolds. Applications of this theory vary from implicit geometry modeling [21] to shape description [13]. Related concepts are also used in f ow visualization. Helman and Hesselink [12] showed how to f nd and classify critical points in f ow f elds and propose a structure similar to the Morse-Smale complex for vector f elds. Later, methods to analyze and simplify this complex were proposed by de Leeuw and van Liere [7] and Tricoche et al. [22, 23].

The f rst multi-resolution encoding of a Morse-Smale complex we are aware of was proposed by Pfaltz [20], which has been improved and extended by Edelsbrunner et al. [9] and Bremer et al. [3, 4]. More recent hierarchical structures are based on the concept of *persistence* [10], which relates the difference in function value of critical point pairs to the importance of a topological feature. Given a Morse-Smale complex, we

- 1. provide an improved hierarchical encoding of the Morse-Smale complex;
- 2. prove that the resulting hierarchy is of logarithmic height; and
- 3. demonstrate our methods for various data sets.

We first review necessary concepts from Morse theory and the construction of a Morse-Smale complex (Section 2). In Section 3, we describe cancellation trees and the resulting hierarchy in Section 4. We conclude with results and possibilities for future research (Section 5).

2 Morse-Smale Complex

We base our algorithms on intuitions derived from the study of smooth functions. We review key aspects from Morse theory [16, 15] for smooth functions and discuss how these can be used in the piecewise linear case.

2.1 Morse Theory

Given a smooth function $f: \mathbb{M} \to \mathbb{R}$, a point $a \in \mathbb{M}$ is called *critical* when its *gradient* $\nabla f(a) = (\delta f/\delta x, \delta f/\delta y)$ vanishes; it is called *regular* otherwise. For two-manifolds, (non-degenerate) critical points are maxima (f decreases in all directions), minima (f increases in all directions), or saddles (f switches between decreasing and increasing four times around the point). Using a local coordinate frame at a, we compute the *Hessian H* of f, which is the matrix of second partial derivatives. If f is non-singular we can construct a local coordinate system such that f has the form $f(x_1, x_2) = f(a) \pm x_1^2 \pm x_2^2$ in a neighborhood of f a. The number of minus signs is the *index* of f and distinguishes the different types of critical points: minima have index 0, saddles have index 1, and maxima have index 2.

At any regular point, the gradient (vector) is non-zero, and when we follow the gradient we trace out an *integral line*, which starts at a critical point and ends at a critical point, while technically not containing either of them. Since f is smooth, two integral lines are either disjoint or the same. The *descending manifold* D(a) of a critical point a is the set of points that f ow toward a. More formally, it is the union of a and all integral lines that end at a. The collection of descending manifolds is a complex in the sense that the boundary of a cell is the union of lower-dimensional cells. Symmetrically, we define the *ascending manifold* A(a) of a as the union of a and all integral lines that start at a. If no integral line starts and ends at a saddle, see [9], we can overlay these two complexes and obtain what we call the *Morse-Smale complex* of a. Its vertices are the vertices of the two overlayed complexes, which are the minima, maxima, and saddles of a. Its cells are four-sided regions bounded by parts of integral lines between saddles and extrema. An example is shown in Figure 1.

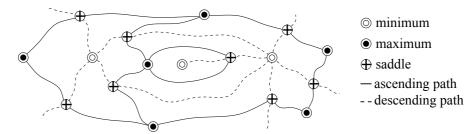


Fig. 1. Morse-Smale complex.

Using the insight gained from smooth Morse theory when applied to piecewise linear functions, we follow the concepts described in [3].

We follow the concepts described in [3] to apply the concepts of smooth Morse theory to piecewise linear functions. Critical points are identified and classified based on their local neighborhood, see [2, 9]. If all vertices that are edge-connected to a point u have function values below that of u, we call it a maximum; if all are above u, then we call it a minimum etc., see Figure 2. In general, there can exist saddles with high multiplicity that we split into simple ones, as shown on the far right in Figure 2.

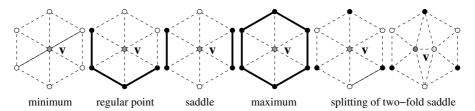


Fig. 2. Classif cation of a vertex v based on relative height of its edge-connected neighbors, where light vertices/edges mark higher neighbors and solid vertices/edges lower neighbors.

2.2 Persistence

As a numerical measure of the importance of critical points we define pairs of critical points and use the absolute difference between their height/function values. The underlying intuition is the following: We imagine sweeping the two-manifold \mathbb{M} in the direction of increasing height (w.r.t. the scalar field value.) The topology of the part of \mathbb{M} below the sweep line changes whenever we add a critical vertex, and it remains unchanged whenever we add a regular vertex. Each change either *creates* a component, *destroys* a component, or changes its genus. We pair a vertex v that creates a component with the vertex u that destroys the component. The *persistence* of u and of v is the "delay" between the two events: p = f(v) - f(u), see [10].

2.3 Construction

In practice, we construct the Morse-Smale complex by successively computing its edges, starting from the saddles, see [3]. Starting from each saddle, we compute two lines of steepest ascent and two lines of steepest descent connecting the saddle to two maxima and two minima. We call these lines *ascending* or *descending paths*. Two paths in the same direction (ascending or descending) can merge; two paths with different direction must remain separate. Once two paths have been merged they never split. Following these rules, we are guaranteed to produce a non-degenerate Morse-Smale complex. A more detailed analysis can be found in [3]. Having computed all paths, we partition the surface into four-sided regions forming the cells of the Morse-Smale complex. Specif cally, we grow each quadrangle from a triangle incident to a saddle without ever crossing a path.

2.4 Simplification

To simplify an Morse-Smale complex locally we use a *cancellation* that eliminates two critical points. The inverse operation to ref ne the complex is called an *anti-cancellation*. Only two adjacent critical points in an Morse-Smale complex can be canceled. The possible conf gurations are a minimum and a saddle or a saddle and a maximum. Since the two cases are symmetric we limit our discussion to the second case, which is illustrated in Figure 3.

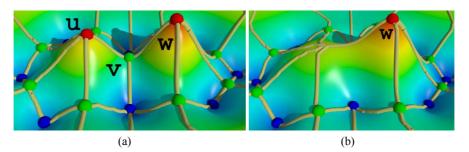


Fig. 3. Graph of a function before (a) and after (b) cancellation of pair u, v.

Only if v is a simple saddle adjacent to two distinct maxima u, w with f(w) > f(v) the pair u, v can be canceled. In particular, a cancellation or anti-cancellation must always maintain a *valid* Morse-Smale complex. An Morse-Smale complex is called valid, if all cells have four (not necessarily distinct) corners and every path between a saddle and maximum/minimum is ascending/descending. Alternatively, an adaptively ref ned Morse-Smale complex is valid if it can be created from the highest resolution one using a sequence of cancellations.

3 Cancellation Forest

The information an Morse-Smale complex provides can be separated into the critical points and their connectivity. The critical points information includes position, type, and function value and we refer to this as *critical point configuration*. The connectivity encodes which paths (edges) def ne a Morse cell and the neighboring information between cells. As with most mesh encoding schemes the critical point conf guration provides most (but not all) information about the Morse-Smale complex. Especially during simplif cation, the connectivity of the Morse-Smale complex can often be inferred from the critical point conf guration. For example, in Figure 3 after u and v have been removed all saddles that were connected to u are now connected to w.

When encoding a cancellation the separation between critical point conf guration and connectivity is very intuitive. The top row of Figure 4 shows three consecutive cancellations C1, C2, and C3 of minima. To reverse any of these cancellations one f rst needs to know how the connectivity of the Morse-Smale complex changes. For

example, in Figure 4(d) m4 must be created on the left of m3 (not on its right.) This information is provided by the neighborhood relations between Morse cells, see Section 4

One important aspect when encoding (anti-)cancellations is whether the operations can be performed out of order. The less ordered dependent the encoding is the more f exible the resulting hierarchy becomes. However, when reversing the order of anti-cancellations the connectivity alone does not uniquely encode a Morse-Smale complex. For example, starting from Figure 4(d) and performing $C1^{-1}$ before $C2^{-1}$ seems to result in the structure of Figure 4(e). Nevertheless, the Morse-Smale complex drawn in (f) has the same connectivity but a different critical point configuration.

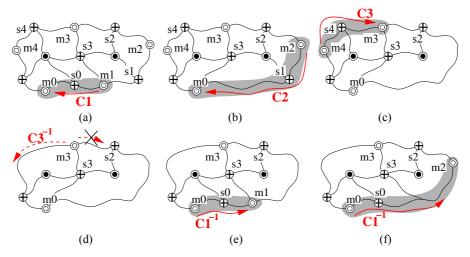


Fig. 4. Morse-Smale complex (a) shown after three successive cancellations (b), (c), and (d). The conf gurations in (e) and (f) have the same connectivity but a different critical point configuration.

The straightforward solution to encoding the critical point conf guration is to link it directly to each cancellation. If a cancellation removed the critical point pair u, v then the corresponding anti-cancellation would introduce u, v. However, this imposes restrictions on the order of cancellations and anti-cancellations. Figure 5 shows the example of Figure 4 enhanced by labeling some critical points with function values. In this situation the conf guration after reversing C1 must be the one shown in Figure 5(c) and 4(f), respectively. The saddle s2 cannot be connected to s2 cannot be connected to s3 since the resulting path could not be descending from saddle to minimum. However, s3 removed s3, s4 and linking the critical point conf guration directly to each cancellation would create an invalid Morse-Smale complex. The algorithm proposed in [4] avoids these complications by imposing additional restrictions on the order of operations, see Section 4.

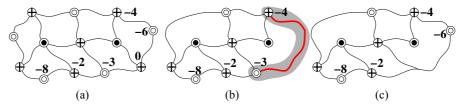


Fig. 5. Morse-Smale complex of Figure 4 with function values. (a) Original complex. (b) Invalid critical point configuration (the path marked in red cannot be descending.) (c) Valid critical point configuration requires anti-cancellation $C1^{-1}$ to create m2 rather than m1.

We propose a different strategy that allows us to store connectivity and critical point configuration independently of each other using a simple data structure. The core idea is to view the cancellation shown in Figure 3 not as removing u and v but as merging the triple u, v, and w into w. After a sequence of cancellations we think of every extremum as the representative of itself plus all extrema merged with it. Maxima only merge with maxima and minima only with minima. We keep track of these merges by creating a graph for every extremum. Initially, each extremum is represented by itself as a graph with a single node. During each cancellation an arc is added between the two extrema that were connected to the corresponding saddle in the initial Morse-Smale complex. Notice, that these two extrema are not necessarily the ones involved in the current cancellation, which merges their representatives. Since no extremum can merge with itself these graphs are trees, called cancellation trees which form the cancellation forest. Figure 6 shows several cancellations and the resulting trees. Figure 13(a) shows the cancellation trees of a typical terrain data set. Notice, that the cancellation trees provide a very intuitive description of the orientation and general shape of the dominate ridges and valleys in the data.

Even though the data structure used for cancellation trees is simple, it is also very powerful due to two key properties. First, recall that during a cancellation the higher maximum or lower minimum always prevails in the Morse-Smale complex. This fact implies that, for example, the representative of a tree of maxima is always the highest node of the tree. Second, arcs of a cancellation tree correspond to saddles and/or cancellations. In fact, given a cancellation forest created, for example, during an earlier simplification, it is possible to derive a (nearly) complete Morse-Smale complex based only on a set of saddles. Assume one is given a highly simplifed Morse-Smale complex and the corresponding cancellation forest; Furthermore, assume a ref nement of the Morse-Smale complex is described by a set of saddles $S = \{s_0, \dots, s_n\}$ that must appear in the ref ned complex, for example all saddles within a view frustrum. First, one removes all arcs corresponding to a saddle in S from the cancellation forest resulting in another forest with more but smaller trees. Subsequently, one can reconstruct the Morse-Smale complex in the following manner: Each saddle s_i was initially connected to two maxima M_0, M_1 and two minima m_0, m_1 . All of these extrema are part of a tree, and the saddle is connected to the four representatives of these trees. This def nes the adaptive Morse-Smale complex to the level of the embedding of the paths. The saddles are given, the remaining critical

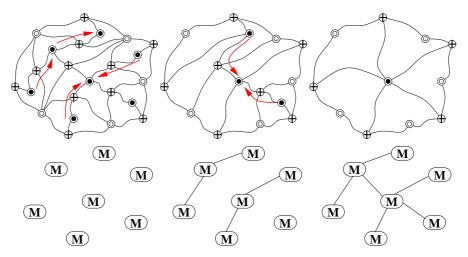


Fig. 6. Example of cancellation trees of maxima resulting from multiple cancellations. (Top) Morse-Smale complex with some cancellations indicated in red. (Bottom) Corresponding cancellation trees of all maxima. Note, that arcs are added between extrema incident to the same saddle in the initial complex not the extrema merged by the current cancellation.

points are the representatives of the cancellation trees, and the paths embedding can be derived from concatenating original paths.

Nevertheless, the connectivity between Morse cells is not uniquely def ned by the construction described above. This is due to the fact that in an Morse-Smale complex paths are not uniquely def ned by their end points, see Figure 7. As a result, Morse cells are not identifed by their corners and the connectivity must still be stored explicitly. Section 4 describes how the connectivity as well as the configuration of saddles can be stored hierarchically.

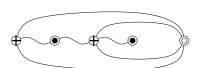


Fig. 7. Strangulation where two Morse cells have the same corners.

In general, a cancellation tree can be split anywhere at any time. As a result, the search for the representative of a subtree does not map to a union-f nd approach traditionally employed in similar situations. Therefore, maintaining the cancellation forest involves a linear search during an anti-cancellation and is a constant-time operation during a cancellation. While more sophisticated structures are possible our experiments suggest that cancellation trees have an overall low branching factor. This would likely diminishes any advantage of more complicated structures and would make implementation more difficult.

4 Hierarchy

Using cancellation trees to maintain the critical point conf guration allows us to create a mesh hierarchy geared completely towards connectivity. The main objective is to construct a hierarchy that supports as many different conf gurations as possible. Following traditional triangle mesh hierarchies, (anti-)cancellations are stored in a dependency graph representing a partial order among operations. All conf gurations that can be created by observing the partial order should result in a valid Morse-Smale complex.

4.1 Hierarchy Construction

Following the approach discussed in [4], we split each Morse cell into two *Morse triangles* by introducing the diagonal connecting the minimum to the maximum into the complex. As a result, the neighborhood around a saddle then consists of four triangles that form the *diamond* around the saddle, as indicated in grey in Figure 8(a). Each cancellation removes one diamond from the Morse-Smale complex. We create

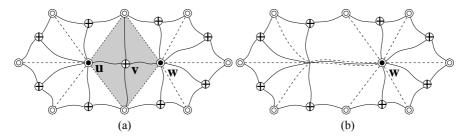


Fig. 8. Morse-Smale complex corresponding to Figure 3 (a) before and (b) after cancellation of pair u, v. Diagonals indicating diamonds are shown as dotted lines.

a hierarchy in a bottom-up fashion by successively canceling critical points, see Figure 9 for an example. Two cancellations are called *independent* if it is irrelevant in what order they are performed and *dependent* otherwise. The *extended dependency graph* contains a node for every cancellation and an arc between dependent cancellations. The *dependency graph* is derived from the extended one using path compression. The *height* of the dependency graph is defined as the maximal distance from a root to a leaf. In practice, one is interested in constructing a shallow graph with few edges since this implies the possibility of a large number of different configurations.

Clearly, the definition of dependencies between cancellations determines the shape of the dependency graph. In [4], the *region of interference* of the cancellation in Figure 8 is defined as all Morse cells incident to either u, v, or w. Two cancellations are defined as dependent if their regions of interference have a (true) intersection. This large region of interference is necessary to avoid the problems discussed in Section 3. Given the large region of interference, storing the hierarchy is straightforward. Each cancellation replaces Morse cells around three critical points by Morse

cells around the remaining one. The boundary of the region does not change and the dependencies ensure that a (anti-)cancellation is only performed if the Morse-Smale complex is locally identical to the one encountered during construction. This can be viewed as a special case of the concepts described for general multi-resolution structures described, for example, by de Floriani et al. [11]. An example of several cancellations and the resulting dependency graphs using the old hierarchy is shown in Figure 9. Due to the large regions of interference the f nal dependency graph (lower right corner) is a line allowing no adaptations beyond the ones encountered during construction.

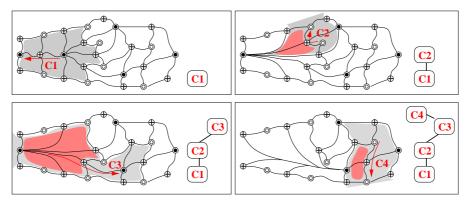


Fig. 9. Hierarchy construction as described in [4]. Cancellations are indicated by arrows, the corresponding region of interference is shaded in grey, and regions of overlap with previous cancellations are shaded in red. The corresponding dependency graphs are shown next to the Morse-Smale complexes. After four cancellations the dependency graph is a line.

Using cancellation trees one can ignore the configuration of minima and maxima, requiring us to encode only the connectivity and saddle configuration. Since each cancellation removes the diamond around a saddle it is natural to link the saddle information directly to a diamond. Therefore, if we can store the diamond information (the connectivity) hierarchically, cancellation trees provide the remaining information.

To store the connectivity information we use the concepts from [11] but now with a signif cantly smaller region of interference. Each cancellation removes one diamond replacing eight triangles around a vertex by four. An anti-cancellation reintroduces a diamond replacing four triangles by eight, introducing two vertices. Some possible conf gurations are shown in Figure 10. The cancellation of a diamond changes a reduced Morse-Smale complex only for the neighboring (edge-connected) diamonds. Therefore, the region of interference of a cancellation is defined as the corresponding diamond plus its edge-connected neighbors. The smaller regions of interference produce a smaller set of dependencies. In fact, the number of ancestors and the number of children of each node in the dependency graph is bounded (assuming path compression). Each diamond has at most four edge-connected neigh-

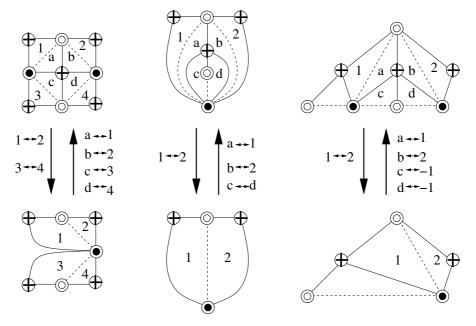


Fig. 10. Three examples for encoding the connectivity during cancellations. The triangulation before (top) and after (bottom) the cancellation of the diamond a, b, c, d is shown. The middle row shows how the change in neighborhood structure for an (anti-)cancellation is encoded as a list of triangle pairs (-1 indicating a boundary edge).

bors and therefore, a node cannot have more than four children. Canceling a diamond merges its four neighbors into two. As a result, a node can have no more than two ancestors. Figure 11 shows the example of Figure 9 using cancellation trees.

We create a hierarchy by removing diamonds from the highest-resolution Morse-Smale complex in "batches" of independent cancellations. However, this strategy can result in cancellations of high persistence to be dependent on cancellations with much lower persistence, which is undesirable for most applications. Therefore, we limit the batches such that the largest persistence in a batch is not larger than twice the maximal persistence of the previous batch. The resulting hierarchy performs significantly better than the unrestricted one in terms of the error cause for a given number of critical points and shows practically no difference in f exibility. However, theoretically, the restricted algorithm can create a hierarchy of linear height. Without this restriction, it is guaranteed that each batch contains about one quarter of the remaining diamonds in the complex and therefore the algorithm creates a hierarchy of logarithmic height.

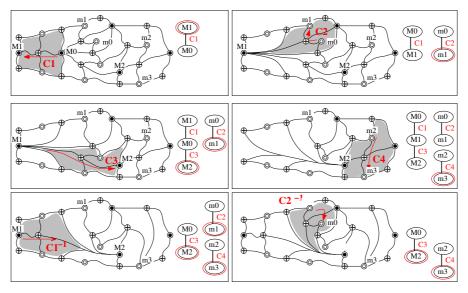


Fig. 11. The top two rows show the example of Figure 9 using cancellation trees to encode the hierarchy. The regions of interference are shaded in grey, and the corresponding cancellation trees are drawn on the right side of each f gure with the representative marked in red. Using the reduced Morse-Smale complex all cancellations are independent. The bottom row shows the complex after the anti-cancellation of C1 (left) and C2 (right). Note that $C1^{-1}$ correctly creates M1 rather than M0 (M1 is higher than M0).

5 Results

To compare the new hierarchy with the one proposed in [4] we applied both strategies to a 1201-by-1201 single-byte integer value terrain data set of the Grand Canyon. Figure 16 shows a rendering (a) and the initial Morse-Smale complex (b) of the Grand Canyon data set with 11620 critical points. We assess quality via a fy-over comparing the adaptivity of the cell-based hierarchy with the one using cancellation trees. A narrow view-frustum is def ned where the topology is ref ned to the highest resolution. Outside the given view-frustum only dependent topology is used. Figures 17 and 18 show two frames of the fy-over for two distinct stages of the fy-over path.

Figure 12 shows the number of critical points in the adaptive Morse-Smale complex during the f y-over for both methods used for hierarchy construction. The hierarchy using cancellation trees is clearly superior to the original encoding. One explanation for the large differences in quality is the presence of high-valency extrema in the Morse-Smale complex. Often, data sets (especially terrains) are biased to contain signif cantly more maxima than minima (or the reverse), which results in some extrema of the Morse-Smale complex having high valency values. Using the original large region of interference, the hierarchy around a high-valency extremum degenerates into a linear sequence. The smaller region of interference proposed in

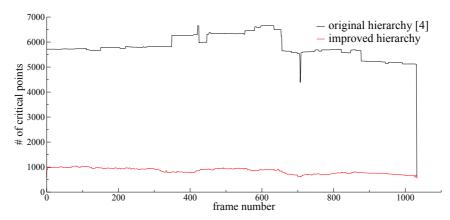


Fig. 12. Number of critical points used during a fy-over (Grand Canyon data set.)

this paper, however, is based on saddles which always have valence four. Therefore, the shape of the hierarchy remains largely unaffected by high valency extrema.

The adaptive ref nement and display of topology is useful for many areas. Figure 15 shows the oil pressure of an underground oil reservoir. The f gure shows an isosurface of water saturation, pseudo-colored by oil pressure. The linear color map used in Figure 15 provides little structural information. However, the seven oil extraction sites are visible as local minima in the simplified Morse-Smale complex.

Figure 13(b) shows a rendering of the Yakima terrain data set consisting of 1201×1201 single-byte integer height values. Figure 14 shows the corresponding Morse-Smale complex with 17691 critical points and the same complex ref ned to preserve only features below a function value of 0.14 (with function values scaled to [0,1]) using 8063 critical points. The density of the Morse-Smale complex shows how the region around the canyons remains highly ref ned.

One disadvantage of the new technique is that the hierarchy is so f exible that it becomes impossible to precompute function values corresponding to all possible topological ref nements. However, for any topological ref nement we can compute a function with the given topology using the concepts of [4]. The general idea of this computation is indicated in Figure 8. Canceling the maximum u with the saddle v requires us to lower the function within a region around u and to raise the function along the path u-v-w.

6 Conclusions and Future Research

We have improved our original results discussed in [4] signif cantly in several different ways, moving towards the practical application of topology for data visualization and analysis. Using cancellation trees, the hierarchy is smaller, more adaptable, and supports the use of larger, more complicated Morse-Smale complexes. Furthermore, cancellation trees are easy to implement and to maintain during ref nement. Currently, we only display the adapted topology, not the corresponding adapted function

interactively. We plan to develop new techniques computing high-quality topological approximation on-the-f y.

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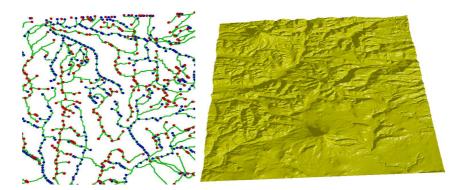


Fig. 13. (Left) Typical cancellation trees of a terrain. Maxima are shown in red, minima in blue, and arcs in green. Note the overall low branching factor. (Right) Rendering of original Yakima data set.

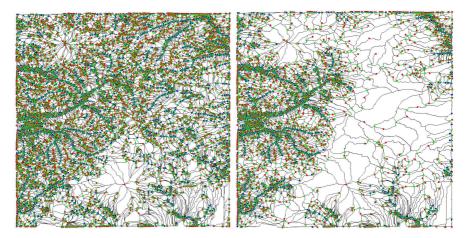


Fig. 14. (Left) Original Morse-Smale complex of the Yakima data set (17691 critical points); (right) adaptively ref ned Morse-Smale complex, where only features below function value of 0.14 are preserved (8063 critical points).

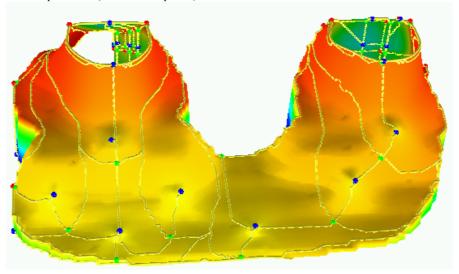


Fig. 15. Pseudo-colored rendering and simplified Morse-Smale complex of oil-pressure data set.

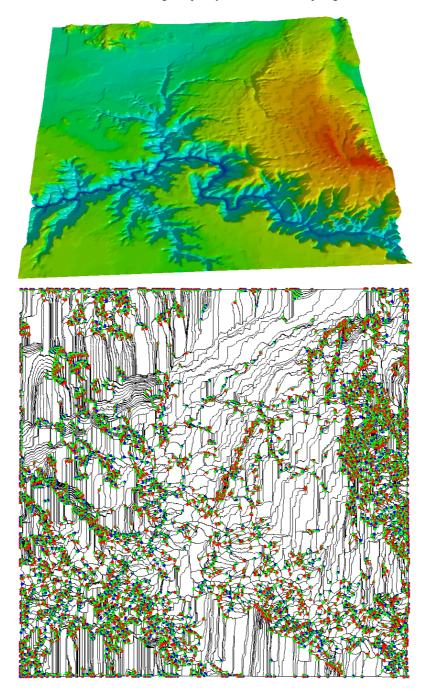


Fig. 16. Rendering of Grand Canyon data set; (b) original Morse-Smale complex of (a) using 11620 critical points (minima shown in blue, maxima in red, and saddles in green.)

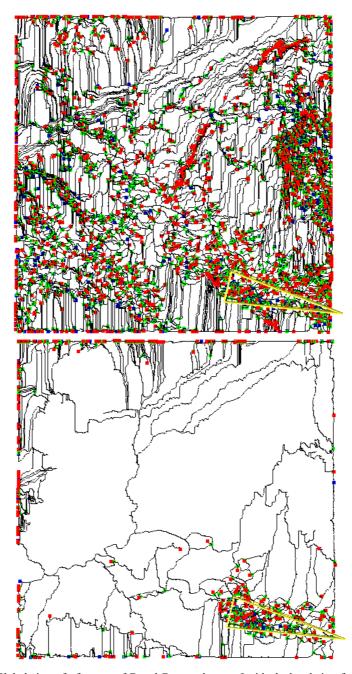
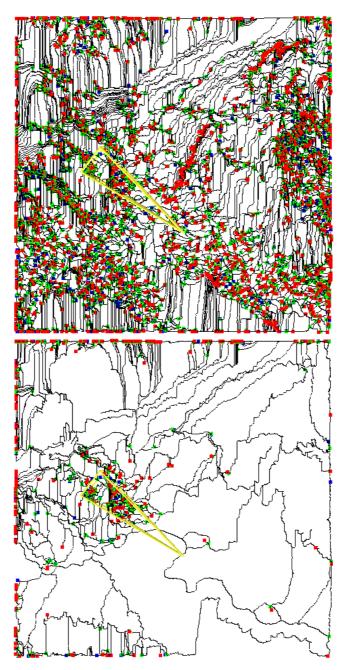


Fig. 17. Global view of a f y-over of Grand Canyon data set. Inside the local view frustum (yellow) the f nest resolution topology is shown on the outside only dependent topology is used. (Top) The results of the hierarchy in [4]; (bottom) ref nement using the improved hierarchy introduced in this paper.



 $\textbf{Fig. 18.} \ \, \textbf{Another frame of the f y-over of the Grand Canyon data set. (Top) Using the original hierarchy; (bottom) using the cancellatio forest.}$